

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Fumiaki Usui, et al.

**Application No.:** 10/809,300

Filed: 3/24/2004

For: OPTICAL TRANSMISSION

DEVICE

**Examiner:** Unknown

**Art Unit:** 

2633

**Confirmation No.:** 

6504

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97 and § 1.98

Sir:

In compliance with the duty of disclosure under 37 C.F.R. §1.56 and in accordance with the practice under 37 C.F.R. § 1.97 and §1.98, the references cited on attached forms PTO/SB/08A are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(a)(3)(ii), a copy of the Japanese documents with an abstract of a readily available translation of the Japanese references from Industrial Property Digital Library (IPDL) is being provided. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are a prior art merely because it is in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

CFA00065US Patent Application

Applicants believe that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 502456. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

John F. Keady

Date: /3mm 2006

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PTO/SB/08A (08-03)

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Sheet 1

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

	Cor			
	Application Number	10/809,300		
	Filing Date		03/24/04	
	First Named Inventor	Fumiaki Usui		
	Art Unit	2633		
	Examiner Name	Unknown		
	Attorney Docket Number	CFA00065US		

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	<sup>US-</sup> 2004-0190908-A1	09-30-2004	Yoshimi; Takahiro	
	2	<sup>US-</sup> 2004-0202482-A1	10-14-2004	Usui; Fumiaki	
	3	<sup>US-</sup> 6,178,024	01-23-2001	Degura; Yasusaburo	
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	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1		Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages			
		Country Code <sup>3 (</sup> Number <sup>4 (</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	MM-DD-YYYY		Or Relevant Figures Appear	T <sub>e</sub>		
	4	JP-7-71956-A	03-17-1995	Fuji Photo Film		1		
	5	JP-11-98081-A	04-09-1999	NTT Data Corp		V		
	6	JP-2001-111491-A	04-20-2001	Canon Inc.		<b>V</b>		
	7	JP-5-122155-A	05-18-1993	NEC Corp		<b>V</b>		
	8	JP-9-181340-A	07-11-1997	Canon Inc.		<b>V</b>		
	9	JP-2004-312697-A	11-04-2004	Canon Inc.		了		

Examiner		Date	
Signature		Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicantis unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2

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Complete if Known				
Application Number	10/809,300			
Filing Date	03/24/04			
First Named Inventor	Fumiaki Usui			
Art Unit	2633			
Examiner Name	Unknown			
Attorney Docket Number	CEA00065US			

			U. S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (fl known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1		Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3 (N</sup> umber <sup>4 (K</sup> ind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T⁵
	10	JP-2004-312698-A	11-04-2004	Canon Inc.		<b>√</b>
	11	JP-8-265263-A	10-11-1996	Canon Inc.		V
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Examiner	Date	
Signature	Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicants unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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